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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,286	08/16/2001	David Neil Payne	DYOUP0222US

CONFIRMATION NO. 1941

## FORMALITIES LETTER



\*OC000000006910515\*

RENNER, OTTO, BOISSELLE & SKLAR, LLP  
Nineteenth Floor  
1621 Euclid Avenue  
Cleveland, OH 44115-2191

Date Mailed: 10/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.  
    ■ \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

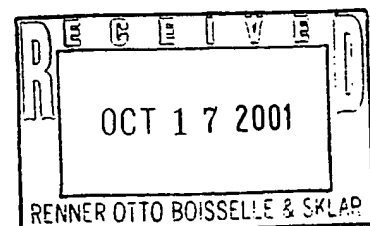
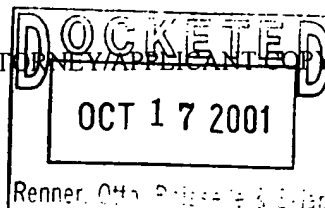
02/25/2002 RHARIS1 00000040 09931286

01 FC:101	740.00 OP
02 FC:102	84.00 OP
03 FC:105	130.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



RENNER OTTO BOISSELLE &amp; SKLAR

Renner, Otto, Boisselle &amp; Sklar

12/15/01



CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being enclosed) is being deposited with the United States Postal Service on the date shown below as first class mail with sufficient postage in an envelope addressed to Box Missing Parts, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231.

Date: January 30, 2002

Shari Saus  
Shari Saus

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant(s): David Neil Payne et al.  
Serial No: 09/931,286  
Filed: August 16, 2001  
Title: WDM TRANSMITTER  
Docket No.: DYOUP0222US

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COMPLETION OF FILING REQUIREMENTS

Box Missing Parts  
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

This is a response to the Office notice, copy attached, dated October 15, 2001.

- ☒ The Declaration(s) is/are enclosed herewith. The above-identified application, on information and belief, is the application which the inventor(s) executed by signing the declaration(s) which sets forth the name(s) of the inventor(s) and the title of the invention.
- ☐ An accurate translation of the application is enclosed herewith.
- ☐ An abstract of the technical disclosure is enclosed.
- ☒ The filing fee or balance thereof is hereby being paid. The amount paid reflects entry of any accompanying preliminary amendment.
- ☐ A preliminary amendment is enclosed.
- ☐ Replacement drawings.
- ☒ Other: Information Disclosure Statement
- ☒ Other: Petition and Fee for two (2) month extension.
- ☐ A certified copy of the following priority application(s) is/are enclosed:

☒ Assignment and cover sheet enclosed.

☐ Small Entity Status is claimed.

The following fees are hereby being paid:

Fee Calculation					Fee
Basic fee →					\$740.00
Claims*	# filed		# extra	Rate	
Total claims	15	-20	0	\$18.00	\$0.00
Independent claims	4	-3	1	\$84.00	\$84.00
Multiple dependent claims (if applicable)				\$280.00	
Total of above					\$824.00
Surcharge for late filing of the declaration and/or paying the filing fee					\$130.00
Small entity status claimed (1 if Yes, 0 if No) →					
				Filing Fee	\$954.00
Non-English language specification				\$130.00	
Assignment Recordal Fee				\$40.00	\$40.00
Total Fees					\$994.00

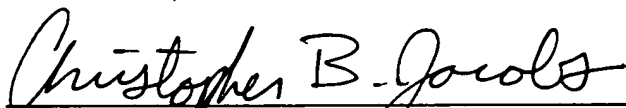
\*After any attached preliminary amendment reducing the number of claims and/or deleting multiple dependencies.

☒ Enclosed is a check covering the aforesaid fees.

☐ Charge Deposit Account No. 18-0988 under the above shown Docket Number.

The Commissioner is hereby authorized to charge any additional fees associated with this communication, or credit any overpayment, to Deposit Account No. 18-0988. Please reference the above-shown docket number.

Respectfully submitted,



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